

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 3



FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)
For Design, Provisional, or Utility Applications

PATENT
APPLICATION

COMPLETION Under
Rule 53(f)

In re PATENT APPLICATION of

Inventor(s): FARWICK et al.

Attn: Application Division

Appln. No.: 09 | 834,722
Series Code ↑ | Serial No. ↑

Atty.Dkt. P | 280112 | 000551 BT
M# | Client Ref

Filed: April 16, 2001

Title: New Nucleotide Sequences Which Code for the menE Gene

Hon. Commissioner of Patents
Washington, DC 20231

Date: July 23, 2001

Sir:

The following **completes the filing** under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration attached ☒ Original ☐ Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or

"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3. ☒ Specification originally filed in non-English language; hence verified translation attached of:
a. ☒ Abstract
b. ☐ # 37 pages of Specification (only spec. & claims)
c. ☒ Drawing(s) 1
No of Sheets
☒ Fig(s). 1

4. ☐ Letter filing formal drawing attached
5. ☐ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in GERMANY

Application No.	Filing Date	Application No.	Filing Date
(1) DE 100 46 624.9	September 20, 2000	(2) DE 101 12 106.7	March 14, 2001
(3)		(4)	
(5)		(6)	

Completion Under Rule 53(b)

9. (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ filed on _____

10. Small Entity Status ☐ ☒ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)

11. ☐ Attached:

12. ☒ Amendment to Comply with Sequence Listing: see attached

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
13. Basic Filing Fee Design Application				\$320/\$160		106/26
Not Design Application				\$710/\$355	+710	101/201
14. Total Effective Claims	38	minus 20 =	18	x \$18/\$9	+324	103/203
15. Independent Claims	4	minus 3 =	1	x \$80/\$40	+80	102/202
16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)				\$270/\$135	+270	104/204
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+130	105/205
18. FILING FEE ENCLOSED =				\$1514		
19. Original due date: July 29, 2001						
20. Petition is hereby made to extend the original due date to				(1 mo) \$110/\$55 =	+0	115/215
cover the date this response is filed for which the requisite fee				(2mos) \$390/\$195 =		116/216
is attached				(3mos) \$890/\$445 =		117/217
				(4mos) \$1390/\$695 =		118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	+130	139
22. If "assignment" box 5 is X'd, add recording fee.				\$40	+40	581
23. Petition Fee for				\$130	+0	
24. TOTAL FEE ENCLOSED =				\$1684		

Our Deposit Account No. 03-3975

Our Order No. 21123 280112

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP
Intellectual Property Group**

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By Atty: Michael A. Sanzo

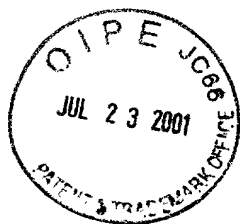
Reg. No. 36912

Sig:

Michael A. Sanzo

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NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments



TRANSLATOR'S DECLARATION

I, Janet Hope, BSc.(Hons.), MIL., MITI., translator to Messrs. Taylor & Meyer of 20 Kingsmead Road, London, SW2 3JD, Great Britain, verify that I know well both the German and the English language, that I have prepared the attached English translation of 39 pages of a German Patent application in the German language with the title:

Neue für das menE-Gen kodierende Nukleotidsequenzen

identified by the code number 000551 BT / IP at the upper left of each page and that the attached English translation of this document is a true and correct translation of the document attached thereto to the best of my knowledge and belief.

I further declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements are made with the knowledge that wilful false statements and the like are punishable by fine or imprisonment, or both, under 18 USC 1001, and that such false statements may jeopardize the validity of this document.

By: _____

A handwritten signature in cursive script, appearing to read "J Hope", written over a horizontal line.

Date: 12th April 2001

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